

Attorney Docket No. 034145.002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Masashi SUGIYAMA, *et al.*

Confirmation No. 6706

U.S. Serial No.: 10/518,005

Group Art Unit: 1755

Filed: December 14, 2004

Examiner: Elizabeth D. Wood

For: CATALYST AND METHOD FOR CLARIFYING EXHAUST GAS

REQUEST FOR REFUND

August 21, 2006

REFUND BRANCH  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

It has come to the attention of Applicants' representative that a fee was unnecessarily paid for an Information Disclosure Statement (IDS) filed with a Request for Reconsideration on August 18, 2006 for the above-identified patent application. In the body of text for the IDS, it specifically states that no fee is due under 37 C.F.R. §1.114 because the IDS was filed with a Request for Continued Examination (RCE) and before a first Office Action. Therefore, a Request for Refund is hereby filed/requested for the \$180.00 that was submitted with the RCE (\$790) and extra independent claim (\$200) fees in Check No. 075626 filed August 18, 2006.

Applicants' representative requests a refund in the amount of \$180.00 be deposited in our Deposit Account No. 02-4300 for Order No. 034145.002.

U.S. Appln. No. 10/518,005

Attorney Docket No. 034145.002

Should there be any questions regarding the above request, the Commissioner is invited to contact the undersigned at the phone number listed below.

Respectfully submitted,

SMITH, GAMBRELL & RUSSELL, LLP

By:



Glenn J. Perry, Reg. 28,458  
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Date: August 21, 2006 Via Facsimile

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**TELECOPY COVER SHEET**

DATE: August 21, 2006

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REFUND BRANCH	U.S. Patent and Trademark Office	(571) 273-6500	(571) 272-6500


From: Glenn J. Perry      Telecopy: 202.263-4329      Phone: 202-973-2611      Atty Docket No: 034145.002      Number of Pages: 3

OPERATOR: \_\_\_\_\_ TIME COMPLETED: \_\_\_\_\_  
PLEASE CALL (202) 263-4300 IF YOU HAVE ANY PROBLEMS

**RE: REQUEST FOR REFUND**  
**For U.S. Patent Appln. Serial No. 10/518,005**

I certify that a Request for Refund is being facsimile transmitted to the U.S. Patent and Trademark Office/Refund Branch (Fax No. 571/273-6500) on August 21, 2006. This facsimile transmission includes a total of 3 pages.

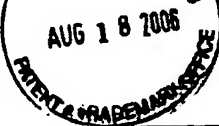
Respectfully submitted,

  
Glenn J. Perry  
Reg. No. 28,458

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Request  
For  
Continued Examination (RCE)  
TransmittalAddress to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	10/518,005
Filing Date	December 14, 2004
First Named Inventor	Masashi Sugiyama, et al.
Art Unit	1755
Examiner Name	Elizabeth D. Wood
Attorney Docket Number	034145.002

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

- Submission required under 37 C.F.R. 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

ii. ☐ Other \_\_\_\_\_

b. ☒ Enclosed

i. ☒ Amendment/Reply

ii. ☐ Affidavit(s)/Declaration(s)

iii. ☒ Information Disclosure Statement (IDS)

iv. ☒ Other Fee Transmittal
- Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. 1.17(l) required)

b. ☐ Other \_\_\_\_\_
- Fees** The RCE fee under 37 C.F.R. 1.17(e) is required by 37 C.F.R. 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 02-4300. I have enclosed a duplicate copy of this sheet.

i. ☒ RCE fee required under 37 C.F.R. 1.17(e) 08/24/2006 SSANDARA 00000001 10518005

ii. ☐ Extension of time fee (37 C.F.R. 1.138 and 1.17) 01 FC:1801 790.00 DP

iii. ☐ Other \_\_\_\_\_

b. ☒ Check in the amount of \$ \$1,170.00 enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Signature		Date	August 18, 2006
Name (Print /Type)	Glenn J. Perry	Registration No. (Attorney/Agent)	28,458

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Signature	
Name (Print /Type)	
Date	

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

08/23/2006 GFREY1 00000147 10518005

Adjustment date: 09/07/2006 SDIRET01  
08/23/2006 GFREY1 00000147 10518005  
02 FC:1806 -180.00 DP

RepIn. Ref: 09/07/2006 SDIRET01 0012475200  
DAH:024300 Name/Number:10518005  
FC: 9204 \$180.00 CR